

Constant mean curvature embeddings and the Fateev model IQFT

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Integrability in Condensed Matter Physics and IQFT

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The ODE/IQFT (ODE/IM,...) correspondence

[Voros'92; Dorey-Tateo'99; BLZ'98,03; LZ'10]

Classically integrable system
(non-linear PDE ) \iff Spectral problem in
any \hbar integrable QFT

Special case explored in [Bazhanov, GK, Lukyanov '13, '14]:

- Modified sinh-Gordon PDE and integrable QFT formulated by Fateev'96
(first formulated in [Lukyanov'13])

Plan:

- Constant mean curvature embedding of punctured Riemann sphere into AdS_3 and its numerical solution
- Relation to the Fateev model

Why Fateev model?

- More transparent mathematical structures

Fateev model \sim Hypergeometric equation (Fuchsian ODE with 3 regular singular points)

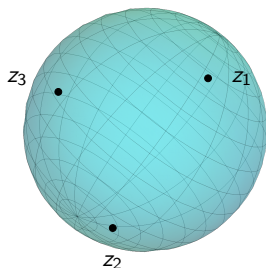
KdV/sin(h)-Gordon model \sim Confluent hypergeometric equation (irregular singularity at ∞)

- Fateev model in certain parameter domain dual to integrable deformation of SU(2) Principal Chiral Field

ODE/IQFT approach to integrable non-linear sigma models

- First principles quantization of integrable non-linear sigma models initiated in [Polyakov, Wiegmann'83; Faddeev, Reshetikhin'86]
≈ 30 year pause due to non-ultralocality problem [Maillet'86]
- Fateev model = starting point for developing a new approach to sigma models based on ODE/IQFT correspondence [Bazhanov, GK, Lukyanov'14 '17'18; GK, Lacroix, Tschner '22]
- Potential applications to:
 - (i) Mathematical physics: asymptotic freedom, large N expansion, dualities, instanton counting and resurgence (e.g., [Bajnok's talk at this conference](#)) ...
 - (ii) Condensed Matter theory: main theoretical approach to disordered electronic systems [Efetov'96]
 - (iii) High Energy Theory: AdS/CFT correspondence, e.g., complement existing techniques [Minahan, Zarembo '02; Gromov, Kazakov, Leurent, Volin'14]

Metric for the punctured sphere



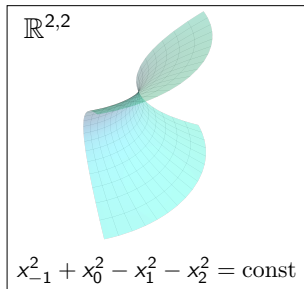
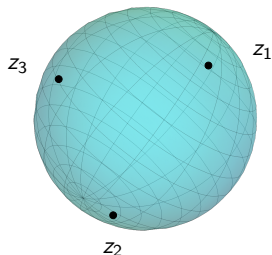
- Flat metric

$$(ds)^2 = |\rho(z)| dzd\bar{z}, \quad \rho(z) = \rho^2 (z - z_1)^{a_1-2} (z - z_2)^{a_2-2} (z - z_3)^{a_3-2}$$

$z = z_j$: conical singularities with angle deficit $0 < (2 - a_j) \pi < 2\pi$

- If $a_1 + a_2 + a_3 = 2$ then $\rho(z) (dz)^2$ is quadratic differential under Möbius transformations

Constant mean curvature embedding into AdS_3



Embedding problem leads to Modified sinh-Gordon equation (MshG)
[Pohlmeyer'76; Bobenko'91; de Vega and Sanchez'93; Alday, Maldacena'09; Dorey, Dunning, Negro, Tateo'20]

$$\partial_z \partial_{\bar{z}} \eta - e^{2\eta} + |p(z)|^2 e^{-2\eta} = 0$$

for induced metric $\propto e^{2\eta} dzd\bar{z}$

Sinh-Gordon equation

$$\partial_z \partial_{\bar{z}} \eta - e^{2\eta} + |\rho(z)|^2 e^{-2\eta} = 0,$$

Redefine

$$\hat{\eta} = \eta - \frac{1}{2} \log |\rho(z)|$$

Apply Schwarz-Christoffel map

$$z \rightarrow w \quad \text{with} \quad w = \int dz \sqrt{\rho(z)}$$

PDE becomes ordinary sinh-Gordon equation

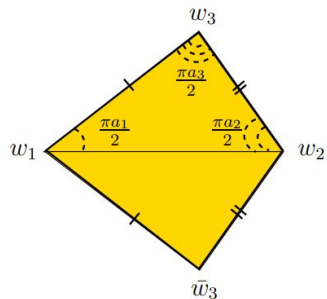
$$\partial_w \partial_{\bar{w}} \hat{\eta} = 2 \sinh(\hat{\eta})$$

but on different domain

Domain

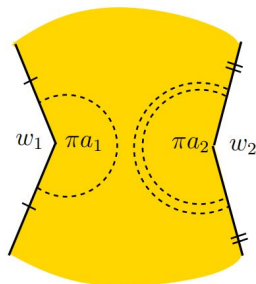
– (I) Compact case:

$$0 < a_1, a_2, a_3 < 2$$



– (II) Non-compact case:

$$0 < a_1, a_2 \text{ and } a_3 < 0$$



Formulation of the problem

$$\partial_z \partial_{\bar{z}} \eta = e^{2\eta} - |\rho(z)|^2 e^{-2\eta},$$

$$\rho(z) = \rho^2 \prod_{j=1}^3 (z - z_j)^{a_j - 2}$$

Supplement with:

(I) **Compact case:** $0 < a_1, a_2, a_3 \leq 2$

$$\eta = 2m_j \log |z - z_j| + O(1) \quad \text{as} \quad z \rightarrow z_j \quad (j = 1, 2, 3)$$

$$\eta = -2 \log |z| + O(1) \quad \text{as} \quad z \rightarrow \infty$$

m_j real such that $m_j \in \left(-\frac{1}{2}, -\frac{1}{4}(2 - a_j)\right]$

(II) **Non-compact case:** $a_1, a_2 > 0$ and $a_3 < 0$

Send $z_3 \rightarrow \infty$ and specify:

$$\eta = 2m_j \log |z - z_j| + O(1) \quad \text{as} \quad z \rightarrow z_j \quad (j = 1, 2)$$

$$\eta = \frac{1}{2} \log |\rho(z)| + o(1) \quad \text{as} \quad z \rightarrow \infty$$

(Regularized) surface area

All geometric properties of embedding can be computed from η , e.g.,

Surface area of embedded surface

$$Vol = \int_{\Sigma} d^2z \sqrt{-\det g} \propto \int_{\Sigma} d^2z e^{2\eta} = \int_{\Sigma} d^2z |\rho(z)|^2 e^{-2\eta} - \pi (1 + m_1 + m_2 + m_3)$$

$$\text{(!!!)} \quad e^{2\eta} = |\rho(z)|(1 + o(1)) \sim |z|^{-2-a_3} \quad \text{as} \quad z \rightarrow \infty$$

\implies For **non-compact case** Vol diverges with $a_3 < 0$

Solution: define *regularized volume* by subtracting $|\rho(z)|$ from integrand

$$\mathfrak{V} = -\frac{2}{\pi} \int d^2z (e^{\eta} - \sqrt{|\rho(z)|} e^{-\eta})^2 + \text{constant}$$

'constant' fixed by some renormalization condition

Numerical solution (focus on compact case)

$$\underbrace{\partial_z \partial_{\bar{z}} \eta}_{\text{Laplacian}} = e^{2\eta} - |p(z)|^2 e^{-2\eta} \quad \sim \quad \text{Poisson's equation}$$

Green's function for 2D Laplacian:

$$G(z, z') = \frac{2}{\pi} \log |z - z'|, \quad \partial_z \partial_{\bar{z}} G(z, z') = \delta(z - z')$$

one can re-write differential equation as non-linear integral equation:

$$\eta(z) = \int_{\Sigma} d^2 z' G(z - z') \left[e^{2\eta(z')} - |p(z')|^2 e^{-2\eta(z')} \right] + \eta_{\infty} + \sum_{j=1}^3 2m_j \log |z - z_j|$$

- Σ – thrice punctured Riemann sphere
- η_{∞} - constant in subleading asymptotics

$$\eta(z) = -2 \log |z| + \eta_{\infty} + o(1) \quad \text{as} \quad z \rightarrow \infty$$

Numerical solution

Define $\psi(z) = \eta(z) - \eta_\infty$ and re-write PDE:

$$\partial_z \partial_{\bar{z}} \psi(z) = e^{2\psi(z) + 2\eta_\infty} - |p(z)|^2 e^{-2\eta - 2\eta_\infty}$$

To determine η_∞ integrate both sides over punctured sphere

$$\underbrace{\int_{\Sigma} d^2z \partial_z \partial_{\bar{z}} \psi}_{\equiv A} = e^{2\eta_\infty} \underbrace{\int_{\Sigma} d^2z e^{2\psi(z)}}_{\equiv B} - e^{-2\eta_\infty} \underbrace{\int_{\Sigma} d^2z |p(z')|^2 e^{-2\psi(z')}}_{\equiv C}$$

$= -\pi(1+m_1+m_2+m_3) \equiv A$

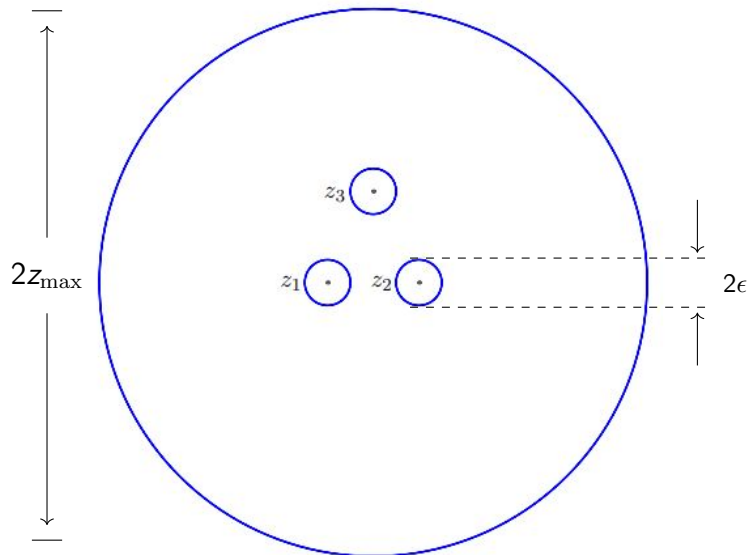
\implies quadratic equation for $e^{2\eta_\infty}$

Solve and choose positive real root:

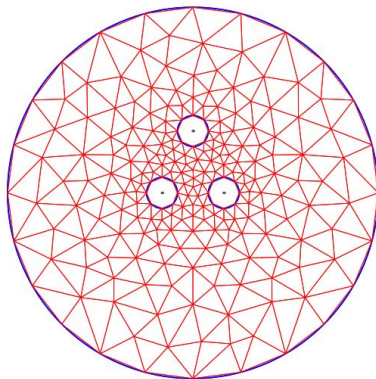
$$\eta_\infty = \frac{1}{2} \log \left(\frac{A + \sqrt{A^2 + 4BC}}{2B} \right).$$

On this basis develop iteration procedure to solve non-linear integral eqs

Domain for numerical integration: $\Sigma \mapsto \Sigma_{\epsilon, z_{\max}}$



Domain for numerical integration



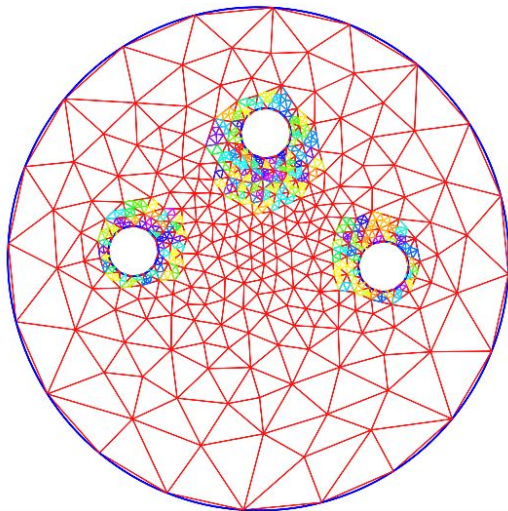
$$\int_{\Sigma_{\epsilon,R}} f(x) = \frac{1}{3} \sum_{\text{triangles}} \text{Area}(j^{\text{th}} \text{ triangle}) \times [f(v_{j,1}) + f(v_{j,2}) + f(v_{j,3})]$$

$v_{j,1}$ = vertex of triangle j

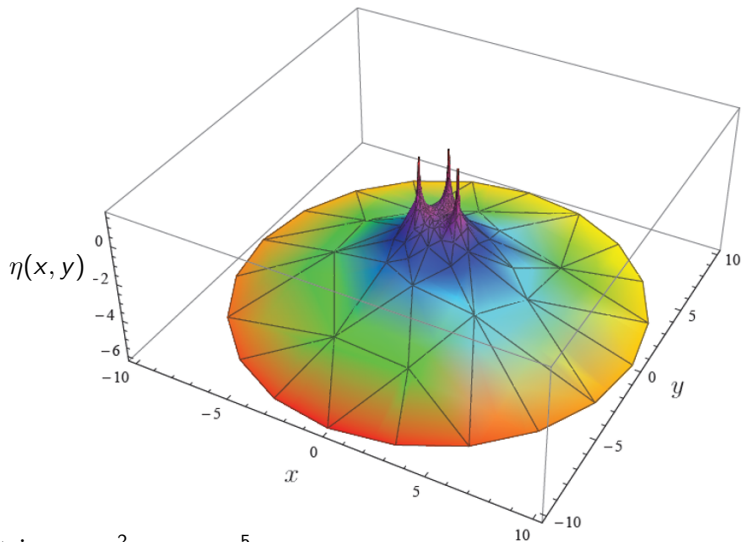
Triangulation done by GMSH finite mesh generator [Geuzaine, Remacle'09]

Adaptive meshing

At n^{th} step refine mesh based on growth of function:



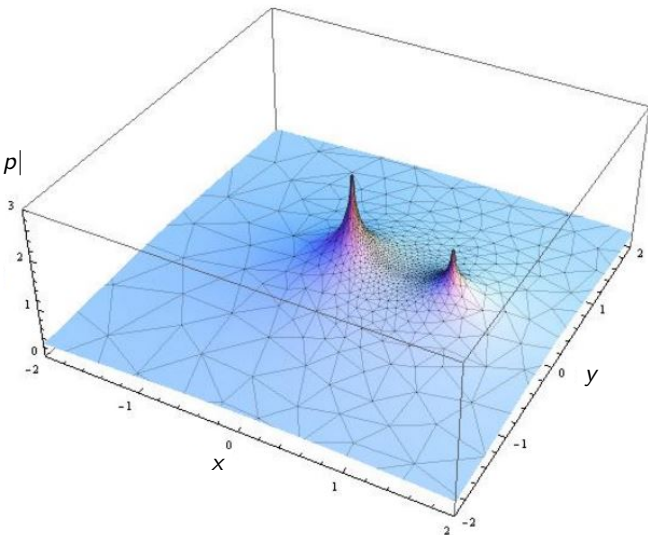
Solution for compact case



$$z = x + iy, \quad a_j = \frac{2}{3}, \quad m_j = -\frac{5}{12}$$

Solution for non-compact case

$$\eta - \frac{1}{2} \log |\rho|$$



$$z = x + iy, a_1 = 1.7, a_2 = 1.5, m_1 = -0.3, m_2 = -0.25$$

(2000 CPU hours total)

Up till now:

Constant mean curvature embedding problem

Next:

The Fateev model

The Fateev model [Fateev'95]

Integrable affine Toda type theory in $1 + 1D$ with 3 bosonic fields

$$\mathcal{L} = \frac{1}{16\pi} \sum_{j=1}^3 \partial_\mu \varphi_j \partial^\mu \varphi_j + 2\mu \left(e^{i\alpha_3 \varphi_3} \cos(\alpha_1 \varphi_1 + \alpha_2 \varphi_2) + e^{-i\alpha_3 \varphi_3} \cos(\alpha_1 \varphi_1 - \alpha_2 \varphi_2) \right)$$

μ – mass, α_j – couplings

$\alpha_1^2 + \alpha_2^2 + \alpha_3^2 = \frac{1}{2}$: infinite number of conservation laws labeled by Lorentz spin

$$\partial_- T_{2n} = \partial_+ \Theta_{2n-2}, \quad \partial_+ T_{-2n} = \partial_- \Theta_{2-2n}, \quad (\partial_\pm = \frac{1}{2} (\partial_t \pm \partial_x))$$

Finite volume $x \sim x + R$ (spacetime cylinder)

$$\mathbb{I}_{2n-1} = \int_0^R dx (T_{2n} - \Theta_{2n-2}), \quad \bar{\mathbb{I}}_{2n-1} = \int_0^R dx (T_{-2n} - \Theta_{2-2n})$$

IMs conserved and commute with each other

$$[\mathbb{I}_{2n-1}, \mathbb{I}_{2m-1}] = [\bar{\mathbb{I}}_{2n-1}, \mathbb{I}_{2m-1}] = [\bar{\mathbb{I}}_{2n-1}, \bar{\mathbb{I}}_{2m-1}] = 0$$

Regimes

- **Compact case:** $\alpha_j \in \mathbb{R}$

Periodic potential

$$= 2\mu \left(e^{i\alpha_3\varphi_3} \cos(\alpha_1\varphi_1 + \alpha_2\varphi_2) + e^{-i\alpha_3\varphi_3} \cos(\alpha_1\varphi_1 - \alpha_2\varphi_2) \right)$$

Space of states splits onto twisted sectors labeled by quasi-momenta:

$$\varphi_j \mapsto \varphi_j + 2\pi/\alpha_j : \quad \Psi_{\mathbf{k}}[\varphi_1, \varphi_2, \varphi_3] \mapsto e^{2\pi i k_j} \Psi_{\mathbf{k}}[\varphi_1, \varphi_2, \varphi_3].$$

with $|k_j| < \frac{1}{2}$.

Fields φ_j taken to belong to **compact** segment $[0, 2\pi)$.

- **Non-compact case:** $\alpha_1, \alpha_2 \in \mathbb{R}$ and $\alpha_3 = ib$ **pure imaginary**

Model can be reformulated as a 1+1 dimensional sigma model with target space two parameter deformation of \mathbb{S}^3

Non linear sigma model

$$\mathcal{L} = G_{ab}(X) \partial_\mu X^a \partial^\mu X^b$$

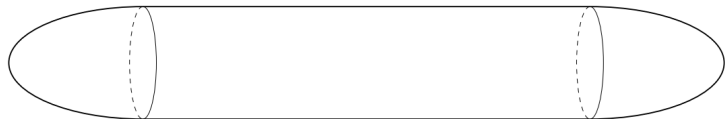
$X^a = X^a(t, \mathbf{x})$ – coordinates on \mathbb{S}^3

G_{ab} – asymmetric 2 parameter deformation of three sphere metric

Special cases:

- 2D Sausage (deformed $O(3)$ model) [Fateev, Onofri, Zamolodchikov'93]

Target space:



- Anisotropic $SU(2)$ principal chiral field (squashed sphere or def. $O(4)$ model)

Target space:



- **Quantum problem:** computation of vacuum energy in twisted sectors

$$\mathbb{H} |vac_{\mathbf{k}}\rangle = E_{\mathbf{k}}^{(vac)}(\mu, R) |vac_{\mathbf{k}}\rangle$$

R - compactification radius

$$E_{\mathbf{k}}^{(vac)} = \frac{1}{R} \times \text{function of dimensionless combination } (\mu R)$$

- **Classical problem:** Area of embedded surface in AdS_3

$$\mathfrak{F} = -\frac{2}{\pi} \int d^2z (e^\eta - \sqrt{|p(z)|} e^{-\eta})^2 + \sum_{j=1}^3 2(m_j + \frac{1}{2}) - \frac{a_j}{2}$$

Renormalization condition $\lim_{\rho \rightarrow \infty} \mathfrak{F} = 0$

- Quantum/classical correspondence:

$$\frac{R}{\pi} E_{\mathbf{k}}^{(\text{vac})} = \mathfrak{F} - 4\rho^2 \prod_{j=1}^3 \frac{\Gamma(a_j/2)}{\Gamma(1 - a_j/2)}$$

Identification of parameters:

$$\rho = \mu R, \quad a_j = 4\alpha_j^2, \quad |k_j| = \frac{2}{a_j} (m_j + \frac{1}{2})$$

- Non-compact case:

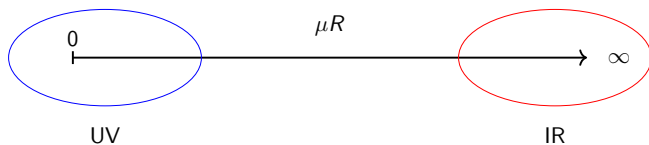
$$a_1, a_2 > 0, a_3 < 0 \quad \iff \quad \alpha_1, \alpha_2 \text{ real and } \alpha_3 \text{ pure imaginary}$$

Solution to PDE has two punctures in finite plane $\eta \sim 2m_j \log |z - z_j| \iff$

Twisted sectors labeled by two quasi-momenta $\mathbf{k} = (k_1, k_2)$

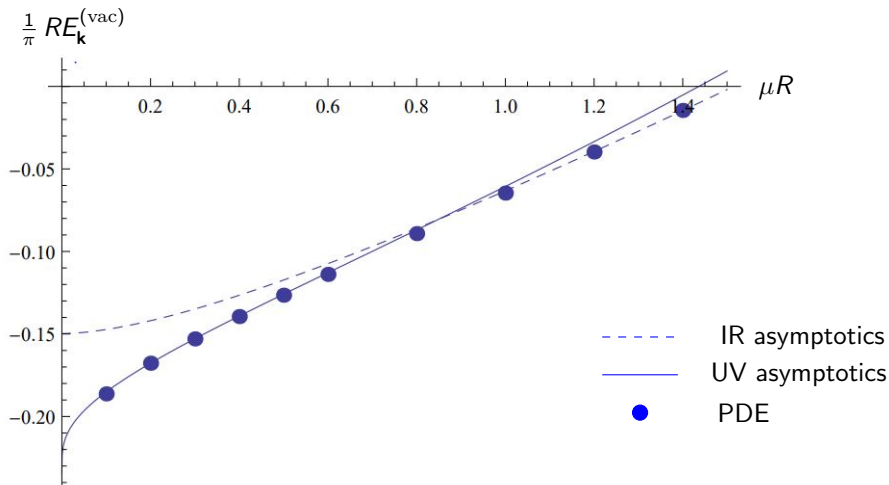
Checks

$E_{\mathbf{k}}^{(\text{vac})}$ may be computed in two important limits:



- IR: Lüscher corrections [Lüscher '86] coming from exact S -matrix
- UV: conformal perturbation theory
- Special cases with $\mathbf{k} = 0$:
 - $4\alpha_1^2, 4\alpha_2^2 = 2, 3, \dots$ (more generally rational) TBA derived in [Fateev'96]
 - Any $\alpha_1^2, \alpha_2^2 \geq 0$ NLIE obtained in [Hegedus'03] along lines of [Klumper, Batchelor, Pearce '91; Destri, de Vega '95]
 - $O(4)$ model studied in [Balog, Hegedus '04; Gromov, Kazakov, Viera '09; Caetano '10]

Results ($a_1 = 1.7, a_2 = 1.5, k_1 = \frac{4}{17} = 0.235\dots, k_2 = \frac{1}{3}$)



Future directions – excited states

Zero-curvature representation for the Modified sinh-Gordon equation:

$$\partial_z \partial_{\bar{z}} \eta - e^{2\eta} + |p(z)|^2 e^{-2\eta} = 0 \quad \iff \quad [\partial_z - \mathbf{A}_z\{\eta\}, \partial_{\bar{z}} - \mathbf{A}_{\bar{z}}\{\eta\}] = 0$$

Find all η such that monodromy properties of linear system are unchanged

Apart from $\eta \propto \log |z - z_j|$ at $z = z_j$, additional “vortex” singularities allowed:

$$\eta = \log \left(\frac{z - x_a}{\bar{z} - \bar{x}_a} \right) + O(1), \quad \eta = \log \left(\frac{\bar{z} - \bar{y}_b}{z - y_b} \right) + O(1)$$

where $\{x_1, \dots, x_L\}, \{y_1, \dots, y_L\}$ obey (transcendental) system with discrete solution set

Correspondence

$$\text{states } |\mathbf{v}\rangle \leftrightarrow \eta^{(\mathbf{v})} \quad \text{such that} \quad \frac{R}{\pi} E_{\mathbf{k}}^{(\mathbf{v})} = \mathfrak{F}\{\eta\} + \dots$$

In this talk:

- Minimal surface embedding problem $\Sigma \mapsto \text{AdS}_3$
- Modified sinh-Gordon equation and its numerical solution
- (Regularized) area of embedded surface \leftrightarrow vacuum energy of Fateev model

Future directions:

- Excited states: classification of all solutions η subject to certain 'monodromy free' condition
- Geometric interpretation
- Problem may be studied in context of ODE/IQFT correspondence for sinh-Gordon QFT using excited states TBA derived in [[Teschner'07](#)]