

# An Ising-type formulation of the six-vertex model

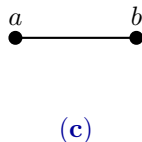
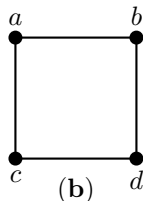
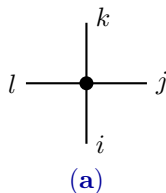
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# Motivation

- Three types of integrable 2D square lattice models with local Boltzmann weights assigned to (a) **vertices**, (b) **faces** or (c) **edges** of the lattice,



- They are called as (a) **vertex-**, (b) **interaction-round-a-face (IRF)** and (c) **Ising-type** models.
- **Integrability: Yang-Baxter equation (YBE)** (vertex/IRF form), Onsager's **Star-triangle relation (STR)** (Ising type models).
- **Vertex/IRF: Quantum Groups** (Drinfeld86, Jimbo86, Faddeev-Reshetikhin-Takhtajan89). Infinite series of trigonometric  $R$ -matrices related to highest weight evaluation reps of affine Lie algebras.

- We consider the simplest case of  $U_q(\widehat{sl}(2))$ . The standard  $R$ -matrix is  $\mathcal{R}(\lambda|s_1, s_2)$ . Here  $s_{1,2}$  define highest weights (spins) of two evaluation reps,  $\lambda$  is the spectral parameter.

$$\mathcal{R}(\lambda/\mu|s_1, s_2) = (\pi_{s_1}(\lambda) \otimes \pi_{s_2}(\mu)) \circ \mathcal{R}$$

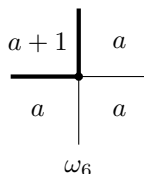
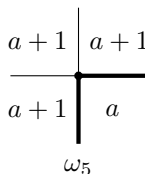
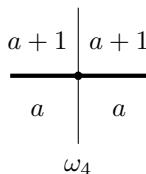
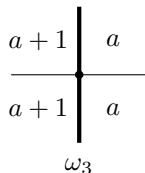
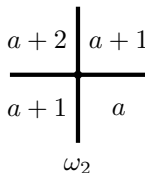
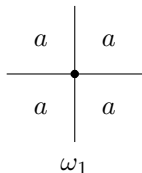
- The  $R$ -matrix  $\mathcal{R}(\lambda|s_1, s_2)$  leads to **higher spin 6-vertex model**. Generic values of  $s_1$  and  $s_2$  correspond to infinite dimensional reps. Finite-dimensional reductions when  $2s_1, 2s_2 \in \mathbb{Z}_{\geq 0}$ . In particular,  $s_1 = s_2 = \frac{1}{2}$  leads to the usual 6-vertex model (with two spin states).
- The concepts of “higher-spin  $R$ -matrices” and “fusion” were developed in the 80’s by Stroganov, Fateev, Zamolodchikov, Kulish, Sklyanin, Kirillov, Reshetikhin, VB, Date, Jimbo, Miwa, Okado, . . .

- **Star-triangle relation:** Not entirely natural in the standard Quantum Groups setup. An algebraic nature of **STR** and related Ising-type models is much less clear in comparison with the vertex model.
- Any Ising-type model can be easily converted into IRF/vertex model, e.g., by “star-square” transformation (Baxter85, Boos-Stroganov98).
- How to construct an Ising-type formulation for **an arbitrary integrable IRF/vertex model** (e.g., the higher spin the 6-vertex model, mentioned above)?
- New algebraic structures are coming from **3D interpretation of the Yang-Baxter equation based on Zamolodchikov tetrahedron equation** (Baxter, VB, Stroganov, Kashaev, Mangazeev, Sergeev, Korepanov, Hietarinta, Maillet, Nijhoff, Kuniba, Okado, ...)
- Our starting point: **Mangazeev’14 formula for  $\mathcal{R}(\lambda|s_1, s_2)$  via a terminating basic hypergeometric series  ${}_4\phi_3$ .**

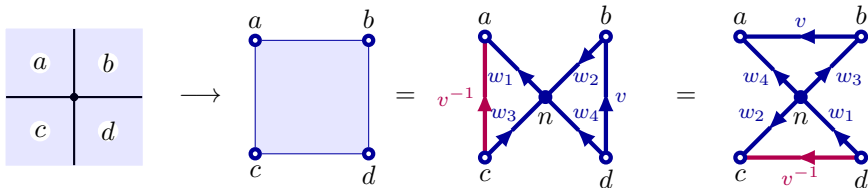
# Two-state six-vertex model

## Vertex configurations:

- i) by the edge arrangements (with bold and thin edges)
- ii) by integer “heights” arrangements,  $a \in \mathbb{Z}$ , as in the unrestricted solid-on-solid (SOS) model.



SOS  $\rightarrow$  IRF  $\rightarrow$  “Ising-type star”,  $w_i(n) = 0, \quad n < 0,$



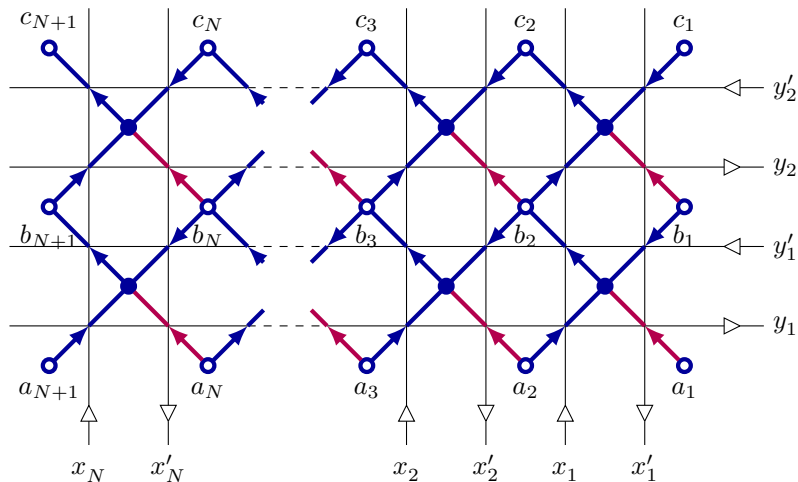
$$\begin{aligned} \mathcal{W}(a, b, c, d) &= \omega_1 \frac{v(b-d)}{v(a-c)} \sum_{n=\max(b,c)}^a w_1(a-n) w_2(n-b) w_3(n-c) w_4(n-d) \\ &= \omega_1 \frac{v(a-b)}{v(c-d)} \sum_{n=d}^{\min(b,c)} w_4(a-n) w_3(b-n) w_2(c-n) w_1(n-d), \end{aligned}$$

$$w_1(0) = w_2(0) = w_3(0) = w_4(0) = 1, \quad w_1(1) = \epsilon (\omega_5^2 - \omega_3 \omega_4) / (\omega_1 \omega_5),$$

$$w_2(1) = \epsilon \omega_3 / \omega_5, \quad w_3(1) = \epsilon \omega_4 / \omega_5, \quad w_4(1) = \omega_5 / (\epsilon \omega_1),$$

$$w_4(2) = \omega_5^2 (\omega_1 \omega_2 + \omega_3 \omega_4 - \omega_5^2) / (\epsilon^2 \omega_1^2 \omega_3 \omega_4), \quad \epsilon = v(1) / v(0).$$

# A new Ising-type model



Diagonal square lattice with directed edges. Integer spins at “black” and “white” sites. Directed thin lines carrying spectral variables  $x_1, x'_1, x_2, x'_2, \dots, y_1, y'_1, y_2, y'_2, \dots$

Two types of edges distinguished by relative orientation of the edge and thin lines, passing through the edge.

$$(i) \quad \begin{array}{c} y \\ \swarrow \quad \searrow \\ \bullet \quad a \\ \uparrow \\ \bullet \quad b \\ \swarrow \quad \searrow \\ x \end{array} = V_{x/y}(a-b), \quad (ii) \quad \begin{array}{c} a \\ \bullet \\ \uparrow \\ \bullet \quad b \\ \swarrow \quad \searrow \\ y \quad x \end{array} = \frac{1}{V_{x/y}(a-b)}.$$

$$V_x(n) = \left(\frac{q}{x}\right)^n \frac{(x^2; q^2)_n}{(q^2; q^2)_n}, \quad n \in \mathbb{Z},$$

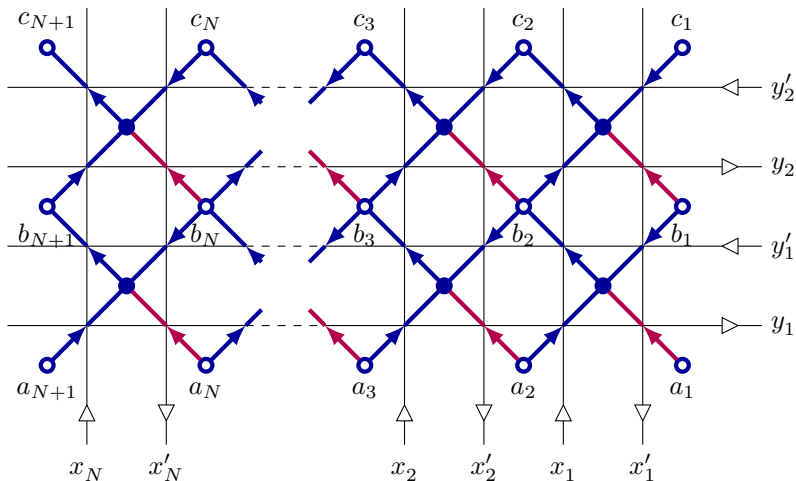
$$(x; q^2)_n = \prod_{j=0}^{n-1} (1 - xq^{2j}), \quad (x; q^2)_{-n} = \frac{1}{(xq^{-2n}; q^2)_n},$$

and  $q$  is an arbitrary parameter of the model. Note that

$$V_x(n) \equiv 0, \quad \text{for } n < 0,$$



# Boundary conditions



$$a_1 \leq a_2 \leq a_3 \leq \dots \quad a_1 \leq b_1 \leq c_1 \leq \dots$$

$$b_{N+1} - a_{N+1} = b_1 - a_1, \quad c_{N+1} - b_{N+1} = c_1 - b_1, \quad \text{etc.}$$

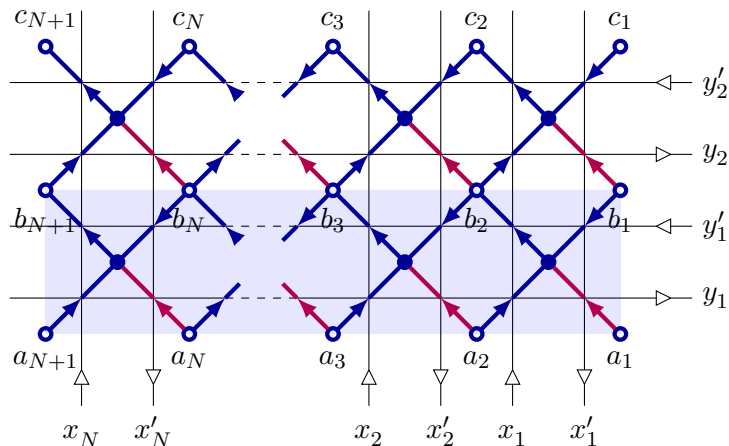
# Partition function

The lattice spin configuration is called *admissible* if all the spin differences appearing in the edge weight functions are non-negative (i.e., for admissible configurations the values of spins cannot decrease along the edge directions).

$$Z = \sum_{\text{(admissible spins)}} w_{\text{fields}} \prod_{\text{(edges)}} (\text{edge weights}).$$

For the above boundary conditions the admissible configurations exhaust all lattice spin configurations with non-zero Boltzmann weight.

# Transfer matrices



$$\left( \mathbb{T}(y, y' \mid x_N, x'_N, \dots, x_1, x'_1) \right)_{a_{N+1}, a_N, \dots, a_1}^{b_{N+1}, b_N, \dots, b_1}$$

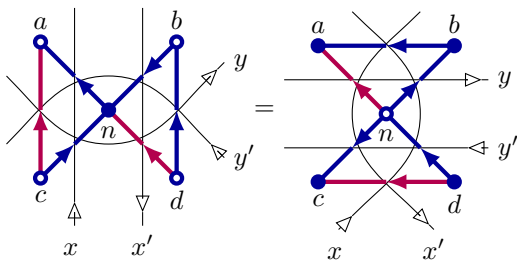
form 2-parameter commuting family.

## Integrability condition: the “star-star relation”

$$\mathcal{W}(a, b, c, d | x, x', y, y') = \overline{\mathcal{W}}(a, b, c, d | x, x', y, y').$$

$$\mathcal{W}(a, b, c, d) = \frac{V_{y/y'}(b-d)}{V_{y/y'}(a-c)} \sum_{n=\max(b,c)}^a \frac{V_{x/y'}(a-n)V_{y'/x'}(n-b)V_{y/x}(n-c)}{V_{y/x'}(n-d)}.$$

$$\overline{\mathcal{W}}(a, b, c, d) = \frac{V_{x/x'}(a-b)}{V_{x/x'}(c-d)} \sum_{n=d}^{\min(b,c)} \frac{V_{x/y'}(n-d)V_{y'/x'}(c-n)V_{y/x}(b-n)}{V_{y/x'}(a-n)}.$$



Follows from two application of the second Sears’s transformation formula for basic hypergeometric series (1951).

# Mangazeev's higher spin $R$ -matrix (2014)

$$\mathcal{R}(x, x', y, y')_{i_1, i_2}^{j_1, j_2} = \delta_{i_1+i_2, j_1+j_2} \mathcal{W}(i_1 + i_2, j_2, i_1, 0 | x, x', y, y').$$

$$i_1 = c - d, \quad i_2 = a - c, \quad j_1 = a - b, \quad j_2 = b - d,$$

$$x = \lambda_1 q^{-s_1}, \quad x' = \lambda_1 q^{s_1}, \quad y = \lambda_2 q^{-s_2}, \quad y' = \lambda_2 q^{s_2},$$

$$\mathcal{R}(\lambda_1/\lambda_2 | s_1, s_2) = \mathcal{R}(x, x', y, y'),$$

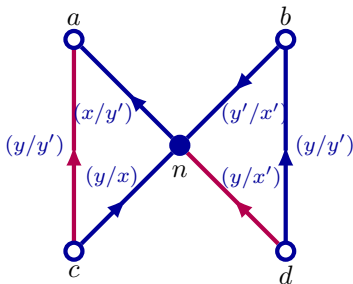
$$\begin{aligned} & \mathcal{R}_{12}(\lambda_1/\lambda_2 | s_1, s_2) \mathcal{R}_{13}(\lambda_1/\lambda_3 | s_1, s_3) \mathcal{R}_{23}(\lambda_2/\lambda_3 | s_2, s_3) \\ &= \mathcal{R}_{23}(\lambda_2/\lambda_3 | s_2, s_3) \mathcal{R}_{13}(\lambda_1/\lambda_3 | s_1, s_3) \mathcal{R}_{12}(\lambda_1/\lambda_2 | s_1, s_2) \end{aligned}$$

# Integrability condition: the “star-star relation”

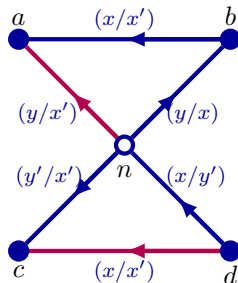
$$\mathcal{W}(a, b, c, d | x, x', y, y') = \overline{\mathcal{W}}(a, b, c, d | x, x', y, y').$$

$$\mathcal{W}(a, b, c, d) = \frac{V_{y/y'}(b-d)}{V_{y/y'}(a-c)} \sum_{n=\max(b,c)}^a \frac{V_{x/y'}(a-n)V_{y'/x'}(n-b)V_{y/x}(n-c)}{V_{y/x'}(n-d)}.$$

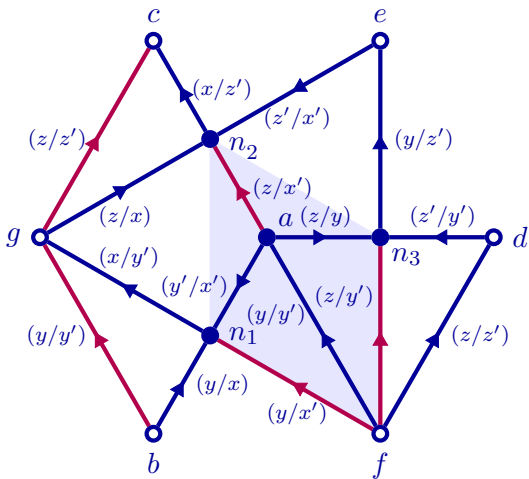
$$\overline{\mathcal{W}}(a, b, c, d) = \frac{V_{x/x'}(a-b)}{V_{x/x'}(c-d)} \sum_{n=d}^{\min(b,c)} \frac{V_{x/y'}(n-d)V_{y'/x'}(c-n)V_{y/x}(b-n)}{V_{y/x'}(a-n)}.$$

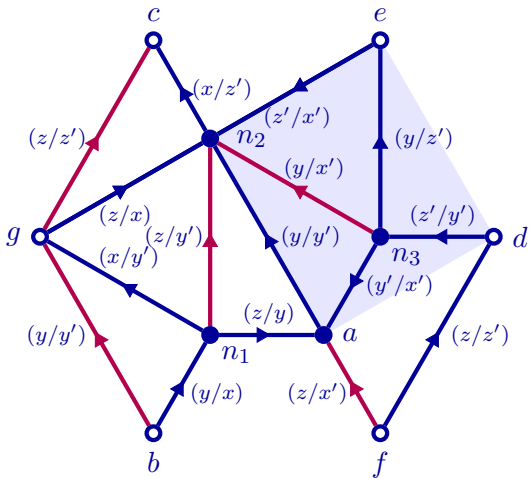


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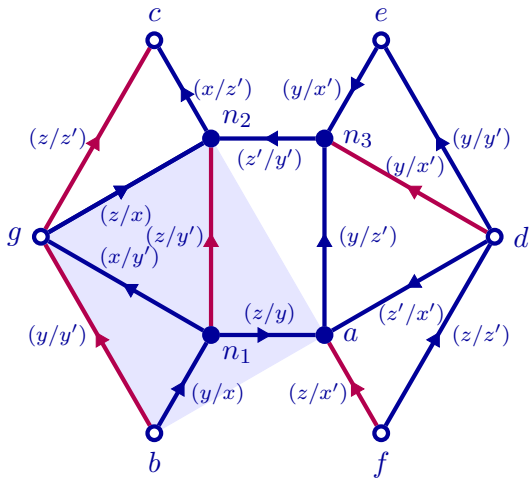


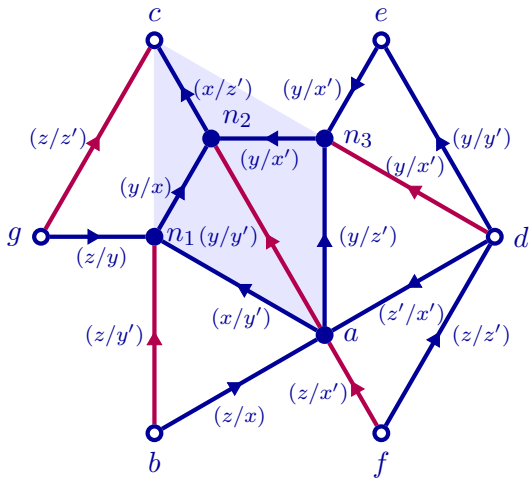
$$\sum_{a \in \mathbb{Z}} \mathcal{W}(g, a, b, f | \mathbf{x}, \mathbf{y}) \mathcal{W}(c, e, g, a | \mathbf{x}, \mathbf{z}) \mathcal{W}(e, d, a, f | \mathbf{y}, \mathbf{z}) =$$

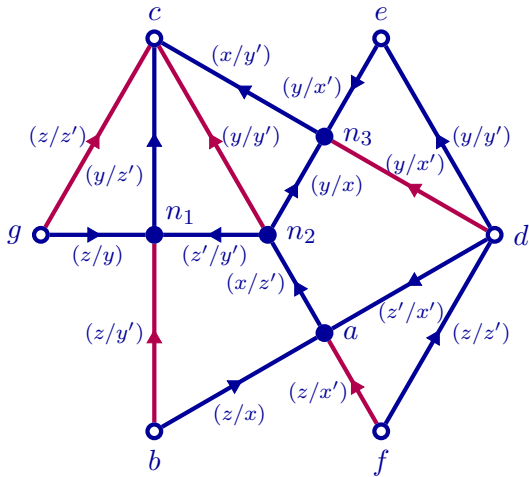












The star-star relation implies the YBE and commutativity of transfer matrices

# Star-triangle relation (STR)

STR involves the edges of the type (i) and type (iii)

$$(i) : \begin{array}{c} y \quad a \quad x \\ \swarrow \quad \uparrow \quad \searrow \\ \bullet \\ \downarrow \\ \bullet \\ b \end{array} = V_{x/y}(a-b), \quad (iii) : \begin{array}{c} x \quad y \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \\ \leftarrow \quad \rightarrow \\ \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \end{array} = W_{x,y}(a-b).$$

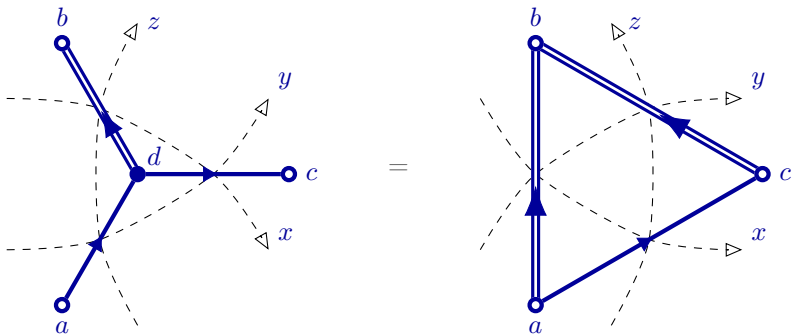
$$W_{x,y}(n) = \left(\frac{y}{x}\right)^n \frac{(x^2; q^2)_n}{(y^2; q^2)_n}, \quad n \in \mathbb{Z}. \quad (1)$$

The new  $W$  weights possess the following symmetries

$$W_{x,y}(n) = W_{q/y, q/x}(-n) = \frac{1}{W_{y,x}(n)} = \frac{1}{W_{q/x, q/y}(-n)}, \quad n \in \mathbb{Z}. \quad (2)$$

$$W_{x,q}(n) = V_x(n), \quad W_{q,x}(n) = \frac{1}{V_x(n)}.$$

**Note that  $W$  does not have a “difference property”!**



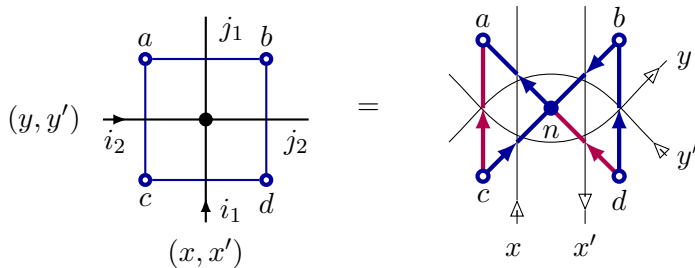
$$\sum_d V_{y/z}(d-a) W_{z,x}(b-d) V_{x/y}(c-d) = W_{z,y}(b-c) V_{x/z}(c-a) W_{y,x}(b-a),$$

$$\sum_d V_{y/z}(a-d) W_{z,x}(d-b) V_{x/y}(d-c) = W_{z,y}(c-b) V_{x/z}(a-c) W_{y,x}(a-b).$$

Pfaff-Saalshütz-Jackson summation formula (1797-1890-1910)

$${}_3\phi_2 \left( \begin{matrix} q^{-2n}, & a, \\ & c, \end{matrix} \begin{matrix} b \\ ab/c \end{matrix} \middle| q^2, q^2 \right) = \frac{(c/a, c/b; q^2)_n}{(c, c/ab; q^2)_n}$$

# Finite-dimensional reductions



$$\mathcal{R}(x, x', y, y')_{i_1, i_2}^{j_1, j_2} = \delta_{i_1+i_2, j_1+j_2} \mathcal{W}(i_1 + i_2, j_2, i_1, 0 | x, x', y, y').$$

$$i_1 = c - d, \quad i_2 = a - c, \quad j_1 = a - b, \quad j_2 = b - d,$$

$$x' = q^{2s_1} x, \quad y' = q^{2s_2} y, \quad 2s_2 \in \mathbb{Z}_{\geq 0}$$

Reduction in the second space:

$$2s_2 \in \mathbb{Z}_{\geq 0} : \quad \mathcal{R}(\lambda | s_1, s_2)_{i_1, i_2}^{j_1, j_2} = 0, \quad 0 \leq i_2 \leq 2s_2, \quad j_2 > 2s_2, \quad \forall i_1, j_1.$$

# The $LLR$ relations

$$\begin{aligned}\mathcal{L}_2(y, y', z) \mathcal{L}_1(x, x', z) \mathcal{R}_{12}(x, x', y, y') \\ = \mathcal{R}_{12}(x, x', y, y') \mathcal{L}_1(x, x', z) \mathcal{L}_2(y, y', z).\end{aligned}$$

$$\mathcal{L}(x, x', y) = \begin{pmatrix} \mu q^{\frac{H}{2}} - \mu^{-1} q^{-\frac{H}{2}} & F \\ E & \mu q^{-\frac{H}{2}} - \mu^{-1} q^{\frac{H}{2}} \end{pmatrix}, \quad \mu = (xx')^{\frac{1}{2}}/y.$$

Algebra  $U_q(sl_2)$ :

$$[H, E] = 2E, \quad [H, F] = -2F, \quad [E, F] = (q - q^{-1})(q^H - q^{-H}).$$

Infinite-dimensional highest weight representation  $\pi_{s_1}^+$  of this algebra (the parameter  $s_1$  is defined by  $x'/x = q^{2s_1}$ ),

$$\pi_{s_1}^+[H] |j\rangle = (2s_1 - 2j) |j\rangle, \quad \pi_{s_1}^+[E] |j\rangle = [q^{2s_1+1-j} |j-1\rangle, \quad \pi_{s_1}^+[F] |j\rangle = [q^{j+1} |j+1\rangle.$$

basis vectors  $|j\rangle \in \mathbb{C}^{(\infty)}$ ,  $j = 0, 1, 2, \dots, \infty$ .

# Symmetry transformation: change the frame!

Multiply by  $q^{\frac{H}{2}}$

$$\tilde{\mathcal{L}} = \begin{pmatrix} q^{-s_1} (\mu q^H - \mu^{-1}) & \mu^{-1} F q^{\frac{H}{2}} \\ \mu E q^{\frac{H}{2}} & q^{+s_1} (\mu - \mu^{-1} q^H) \end{pmatrix}$$

The Weyl algebra

$$\mathbf{u} \mathbf{v} = q^2 \mathbf{v} \mathbf{u}.$$

Choosing the representation

$$\mathbf{u} |j\rangle = |j\rangle q^{2s_1 - 2j}, \quad \mathbf{v} |j\rangle = |j-1\rangle,$$
$$\tilde{\mathcal{L}} = \begin{pmatrix} \mu q^{-s_1} \mathbf{u} - \mu^{-1} q^{-s_1} & \mu^{-1} q^{s_1} \mathbf{v}^{-1} (\mathbf{u} - q^{-2s_1}) \\ \mu q^{s_1} \mathbf{v} (1 - q^{-2s_1} \mathbf{u}) & \mu q^{s_1} - \mu^{-1} q^{s_1} \mathbf{u} \end{pmatrix}.$$

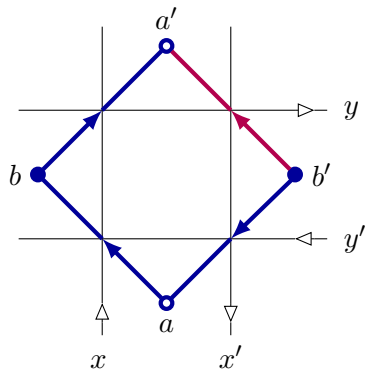
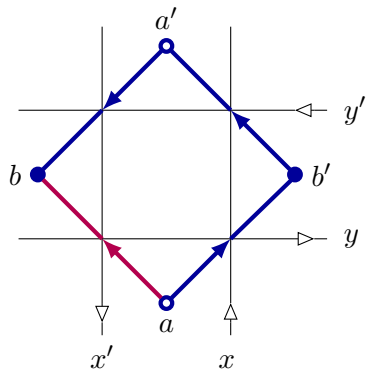
Smart choice:

$$\mathbf{u} |a\rangle = |a-1\rangle, \quad \mathbf{v} |a\rangle = |a\rangle q^{2a}, \quad a \in \mathbb{Z}.$$

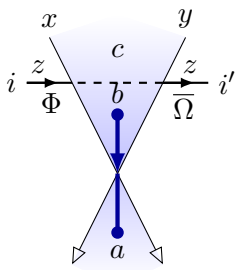
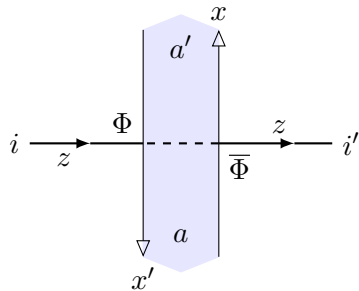


# Factorized $R$ -matrices

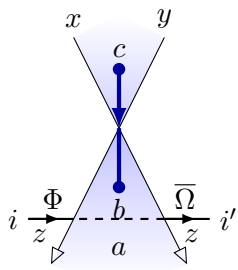
$$\mathbb{R}(\mathbf{x}, \mathbf{y})_{a,b}^{a',b'} = \frac{V_{y'/x'}(b - a')V_{x/y'}(a' - b')V_{y/x}(b' - a)}{V_{y/x'}(b - a)},$$

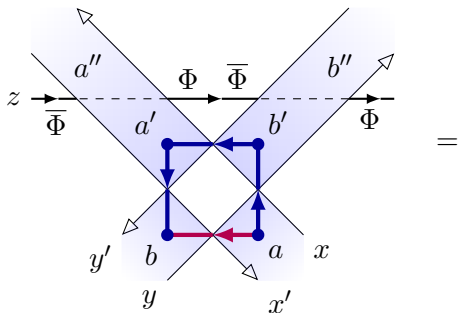


$$(\mathbb{L}(x, x', z)_a^{a'})_{i, i'} = \Phi(x', z)_{a, i}^{a'} \bar{\Phi}(x, z)_{a, i'}^{a'} =$$

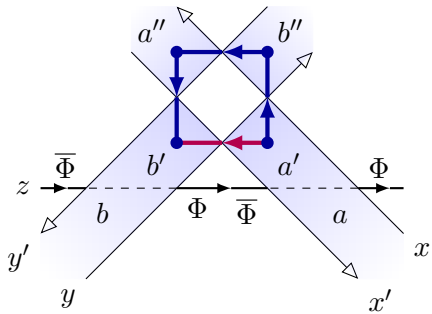


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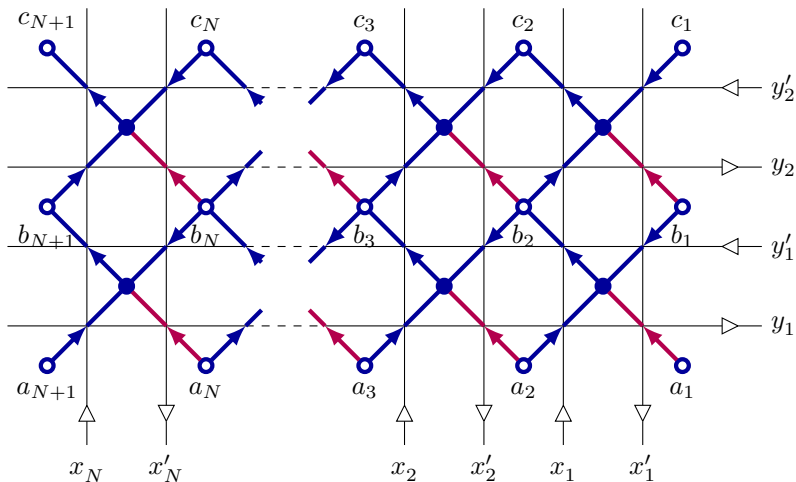




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# A new Ising-type model?



Square lattice can be formed by either 4-edge “stars” (two types) or by two types of “diamonds” (box diagrams). For  $R$ -matrices it is like going from momentum to coordinate representation.

## Coordinate space one-loop 4-point Green functions in QFT, (for $R$ -matrix: shift operator Cartan elements)

$$W(x_1, x_2, x_3, x_4) = G(x_1 - x_2) G(x_2 - x_3) G(x_3 - x_4) G(x_4 - x_1)$$

where  $G(x) = G(-x)$  is the 2-point function in a scalar QFT. The Fourier transform

$$\int \left( \prod_{i=1}^4 e^{ik_i x_i} d^n x_i \right) W(x_1, x_2, x_3, x_4) = \delta^{(n)}(k_1 + k_2 + k_3 + k_4) \widetilde{W}(k_a, k_b, k_c, k_d)$$

## The momentum space four-point function (for $R$ -matrix: diagonal Cartan elements)

$$\widetilde{W}(k_a, k_b, k_c, k_d) = \int d^n k \widetilde{G}(k - k_a) \widetilde{G}(k - k_b) \widetilde{G}(k - k_c) \widetilde{G}(k - k_d).$$

where the new variables  $k_a, k_b, k_c, k_d$  are chosen such that

$$k_1 = k_c - k_d, \quad k_2 = k_a - k_c, \quad k_3 = k_b - k_a, \quad k_4 = k_d - k_b,$$

$$G(x) = \int \frac{d^n k}{(2\pi)^n} e^{ikx} \widetilde{G}(k).$$

The relation between two  $R$ -matrices

$$\begin{aligned} \sum_{a', b' \in \mathbb{Z}} \mathbb{R}(x, x', y, y')_{a, b}^{a', b'} \left(\frac{x}{x'} q^{2j_1}\right)^{a'} \left(\frac{y}{y'} q^{2j_2}\right)^{b'} \\ = \sum_{i_1, i_2 \geq 0} \left(\frac{x}{x'} q^{2i_1}\right)^a \left(\frac{y}{y'} q^{2i_2}\right)^b \left(\frac{y'^{j_1} x^{j_2}}{y^{i_1} x'^{i_2}}\right) \mathcal{R}(x, x', y, y')_{j_1, j_2}^{i_1, i_2} \end{aligned}$$

Relations of this type commonly appear as (self-) duality transformations in the Ising-type lattice model (Baxter:85, Wu:82, Boos-Stroganov:98).

## Further contributions into algebraic theory of 6-vertex model:

- Construction of Baxter's  $\mathbf{Q}$ -operators

$$\varphi(y, y') \mathbf{T}(y, y') = \mathbf{Q}(y') \overline{\mathbf{Q}}(y).$$

(Baxter:72, VB-Lukyanov-Zamolodchikov:98, Fabricius-McCoy:03, Boos-Göhmman-Klümper-Nirov-Razumov:14, Mangazeev:14)

- Bethe Ansatz (coordinate & algebraic)

$$\Psi = \sum_{1 \leq r_1 \leq r_2 \leq \dots \leq r_M \leq N} \Psi(r_1, r_2, \dots, r_M) \hat{\sigma}_{r_M}^+ \cdots \hat{\sigma}_{r_1}^+ \Psi_0, \quad M \geq 0,$$

(Baxter:71, Gaudin-McCoy-Wu:81, Korepin:82, Takhtajan-Faddeev:79, Slavnov:2007, Kotousov-Lukyanov:20)

- Connection to chiral Potts model (six-vertex model as a descendant of the six-vertex model)

(Au-Yang-McCoy-Perk-Tang-Yan-Sah-Baxter-87, VB-Kashaev-Mangazeev-Stroganov:89-90, Date-Jimbo-Miki-Miwa:90)

- Generalizations to other affine algebras. Already developed:  
 $U_q(sl(n))$  (reproduce symmetric tensor  $R$ -matrices  
Bosnjak-Mangazeev:16)
- Hidden 3D structure of Yang-Baxter equation for other affine algebras and superalgebras  
(Sergeev:99-09, Kuniba-Okado-Sergeev:2015, . . .)
- **Plausibly, all vertex models associated with quantized affine Lie algebras and superalgebras can be reformulated as Ising-type models.**